

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number		10/809,315 March 24, 2004 David M. Durham 2139 Kari L. Schmidt 42P19299			
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
<div style="font-size: 2em;">↓</div>	1	US-6,581,159 B1	06-17-2003	Nevis et al.	
	2	US-6,408,387 B1	06-18-2002	Wells	
	3	US-6,243,809 B1	06-05-2001	Gibbons et al.	
	4	US-5,978,912	11-02-1999	Rakavy et al.	
	5	US-2003/0097581 A1	05-22-2003	Zimmer	
	6	US-2003/0037244 A1	02-20-2003	Goodman et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ - Number ² - Kind Code ⁴ (if known)				

Examiner Signature	/Kari Schmidt/	Date Considered	12/18/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/809,315
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Sheet 2 of 2				First Named Inventor	David M. Durham
				Art Unit	2139
				Examiner Name	Kari L. Schmidt
				Attorney Docket Number	42P19299

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
/KS/ ↓	7	Int'l Application No. PCT/US2005/008975; Attorney Docket No. 42P19299PCT; filed March 18, 2005; Int'l Preliminary Report on Patentability Chapter 1 dated October 5, 2006		
	8	Int'l Application No. PCT/US2005/008616; Attorney Docket No. 42P19298PCT; filed March 14, 2005; Int'l Search Report & Written Opinion dated April 10, 2006		
	9	WILLIAMSON et al.: Virus Throttling; Virus Bulletin, March 2003, 4 pages, Vol. 8, Virus Bulletin Ltd, The Petnagon, Abindgon, Oxfordshire		
	10	TWYXCROSS et al.: Implementing and Testing a Virus Throttle, Proceedings 12th USENIX Security Symposium, August 4-8, 2003, 11 pgs, Wash D.C., HPL-2003-103, May		
	11	WILLIAMSON: Design, Implementation and Test of an Email Virus Throttle, HPL-2003-118, June 30, 2003, 10 pgs		
	12	WILLIAMSON et al.: Virus Throttling for Instant Messaging, Virus Bulletin Conference, September 2004, pages 1-9, HPL-2004-81, May 5, 2004, Chicago, IL		
	13	WILLIAMSON: Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code, HPL-2002-172, June 17th, 2002, pgs 1-6		
	14	WILLIAMSON: Throttling Viruses: Restricting Propagation to Defeat Malicious Mobile Code, ACSAC Conference, December 2002, pages 1-8, Las Vegas, NV		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

Complete if Known

Application Number	10/809,315
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Filing Date	March 24, 2004
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First Named Inventor	David M. Durham
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Art Unit	2131
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Examiner Name

Attorney Docket Number 42P19299

U.S. PATENT DOCUMENTS

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Signature**

/Kari Schmidt/

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